

# Enhancing Electronic Health Record (EHR)-Derived Data with Data from Secondary Sources to Address Multifactorial Problems in Real Life Populations

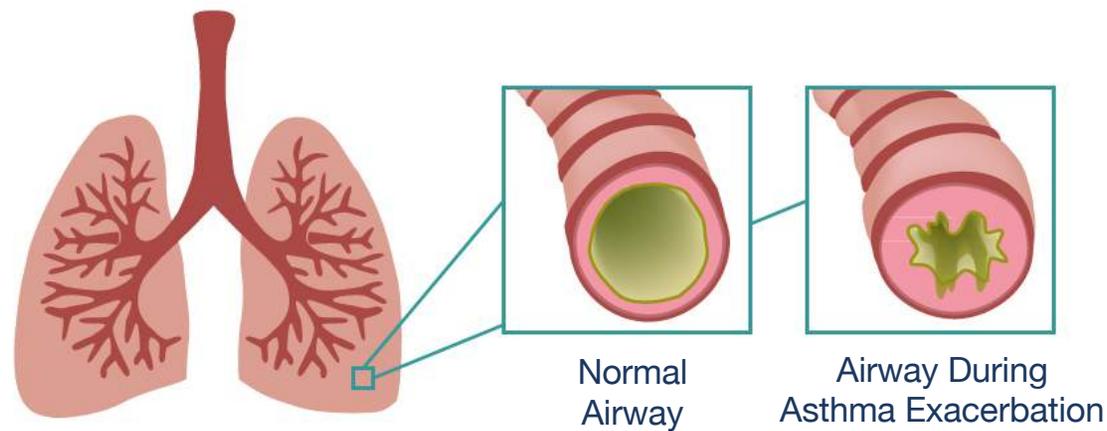
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# Asthma and its Treatment

- Asthma is a chronic inflammatory lung disease characterized by episodes of airway obstruction
- $\beta_2$ -agonists and glucocorticoids are commonly used drugs
- Treatment according to clinical guidelines decreases asthma symptoms, exacerbations and improves lung function in most patients



# Influence of Genetics on Asthma is Substantial

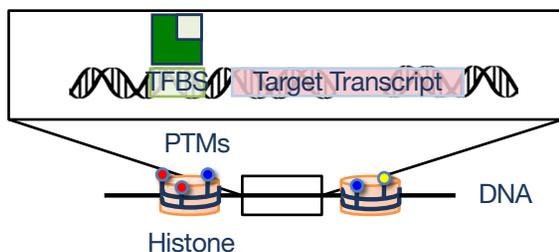
- Heritability estimated to be 50-90%
- Several reproducible asthma-associated loci have been identified
  - *ORMDL3/GSDMB*
  - *TSLP*
  - *HLA-DQA1*
  - *IL33*
  - *IL1RL1*
  - *IL13*
  - *SMAD3*
  - *RORA*



Ober C, *Ann Am Thorac Soc* (2016)

# Asthma Management is a Multifactorial Problem

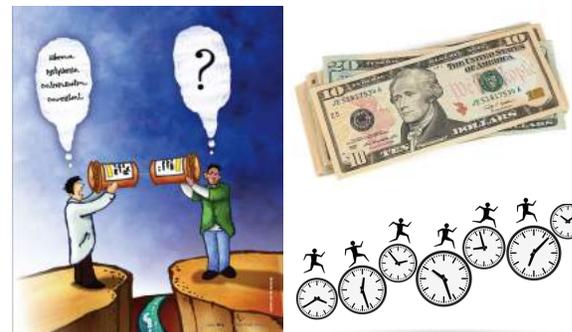
Genomics, Transcriptomics, Epigenomics



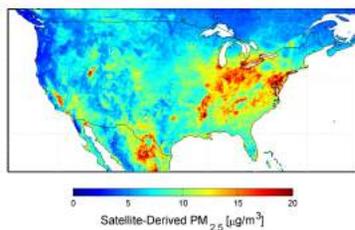
Medications



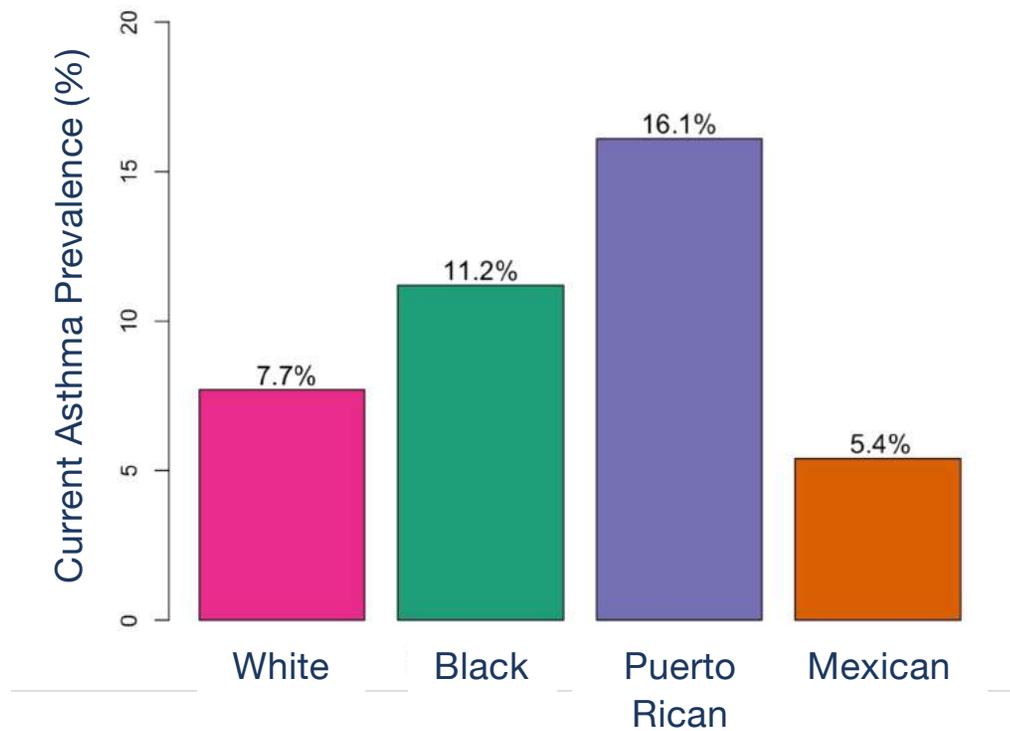
Social Factors



Environmental Exposures



# U.S. Disparities in Asthma Prevalence by Race/Ethnicity

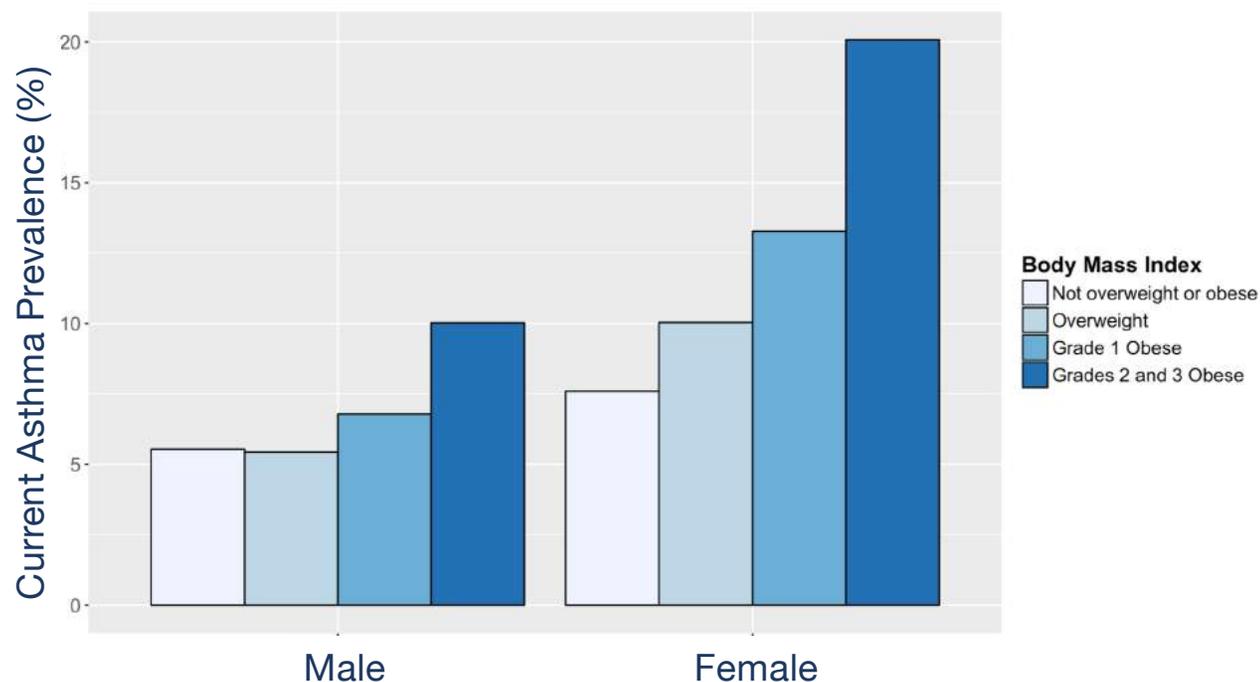


Data Source: National Health Interview Survey (NHIS) 2008-2010

# Sex Disparities in Asthma Prevalence and Risk Factors

1,003,894 subjects 21+ years of age from Behavioral Risk Factor Surveillance Study (BRFSS) years 2007-2012

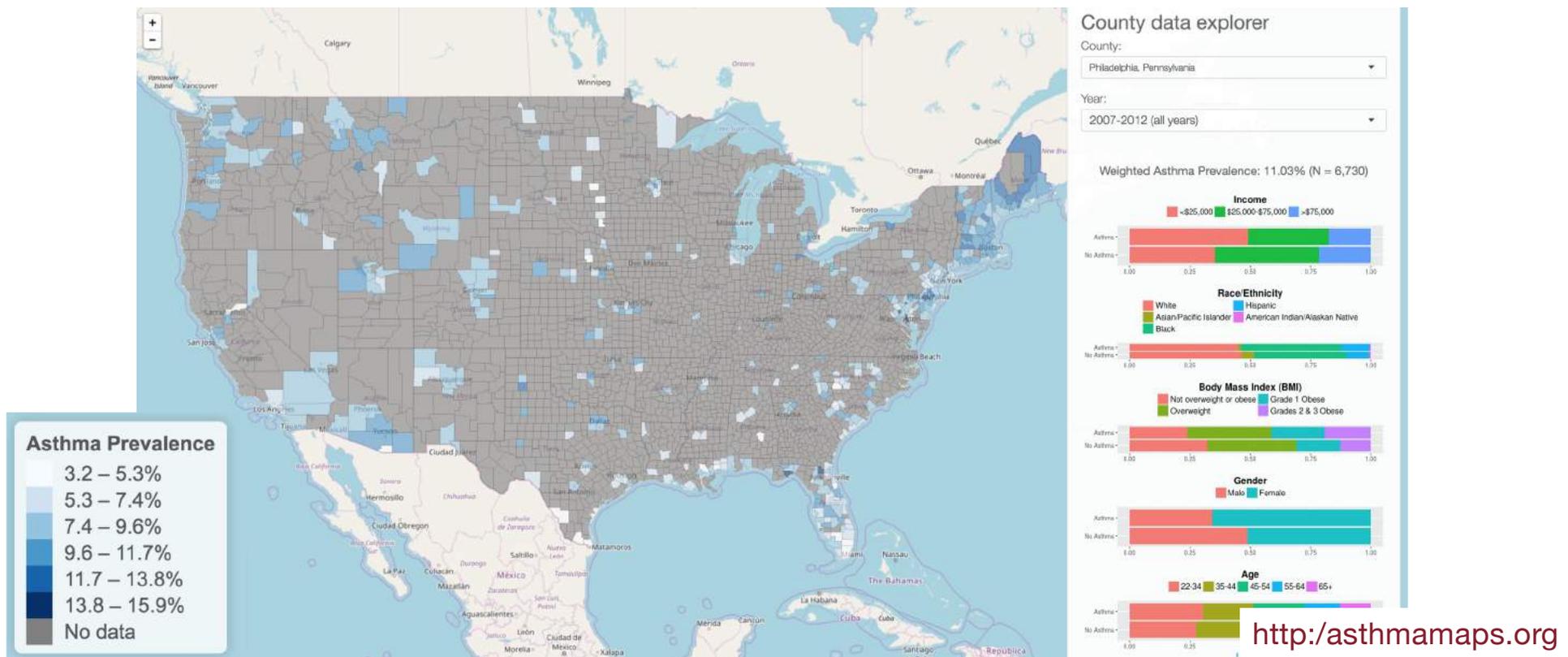
Sex	Respondents with Current Asthma
Male	26,490 (36.8%w)
Female	66,958 (63.2%w)



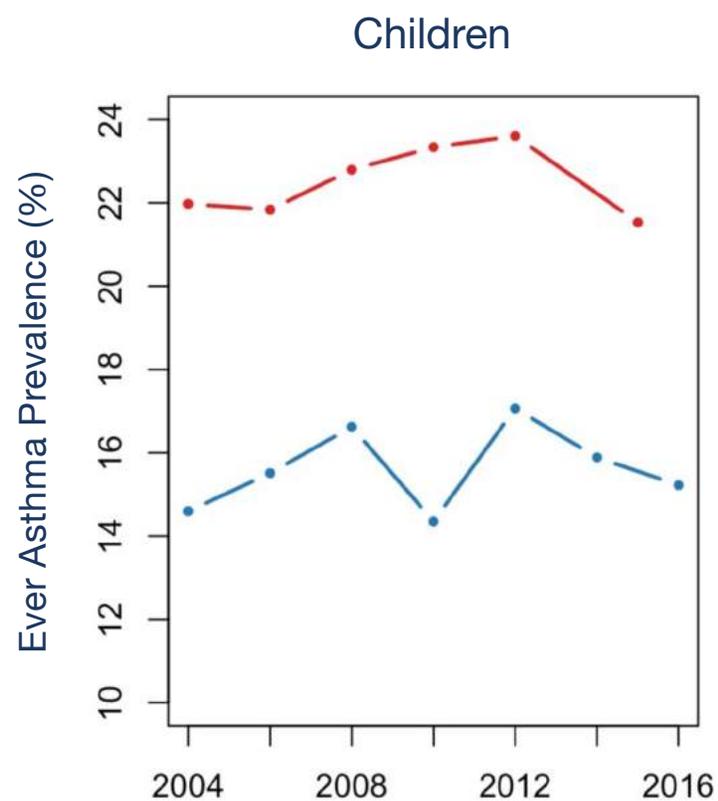
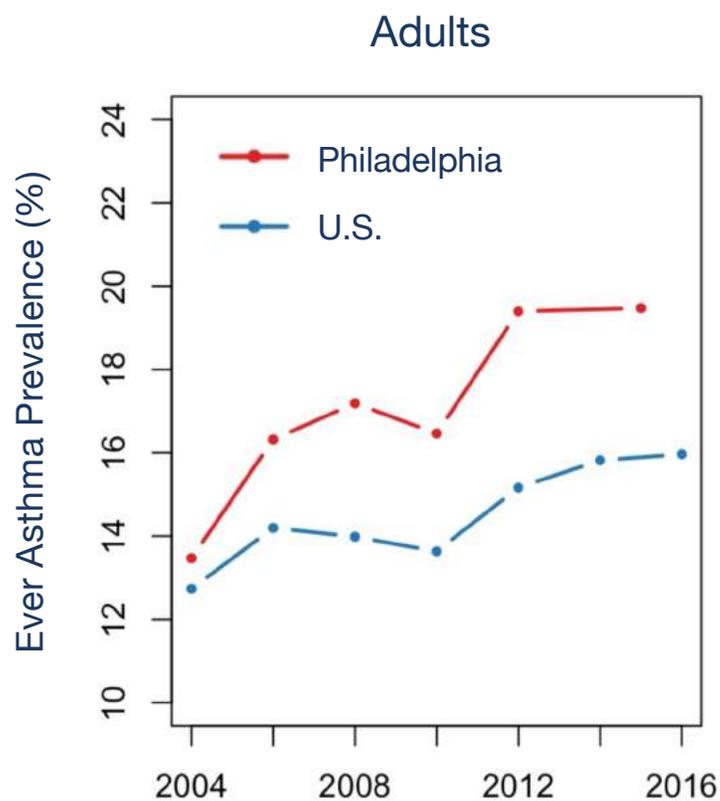
Greenblatt R *et al*, *Asthma Res Pract* (2017)

# U.S. Adult Asthma Prevalence Varies Geographically

1,003,894 subjects 21+ years of age from BRFSS years 2007-2012

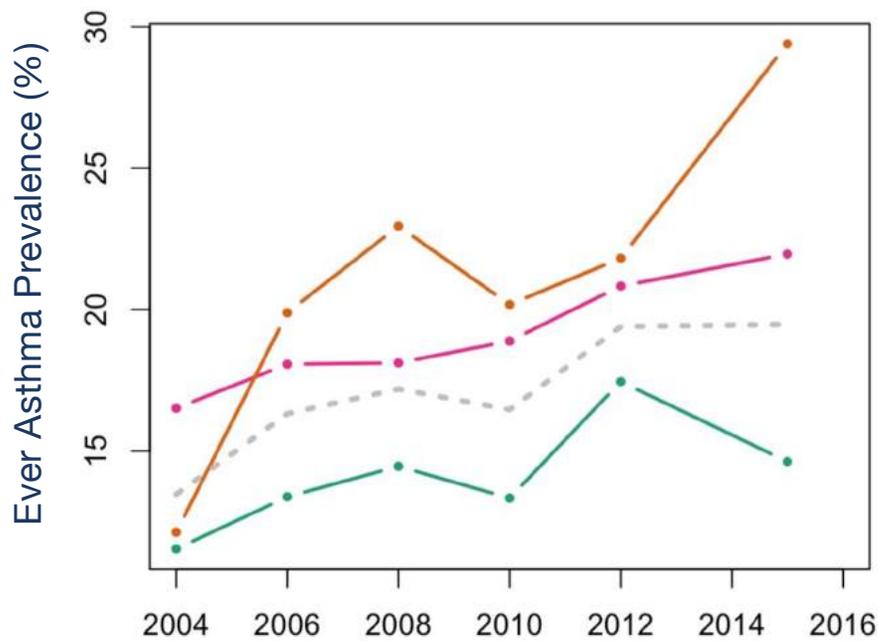


# Asthma Prevalence in Philadelphia is Higher than U.S.

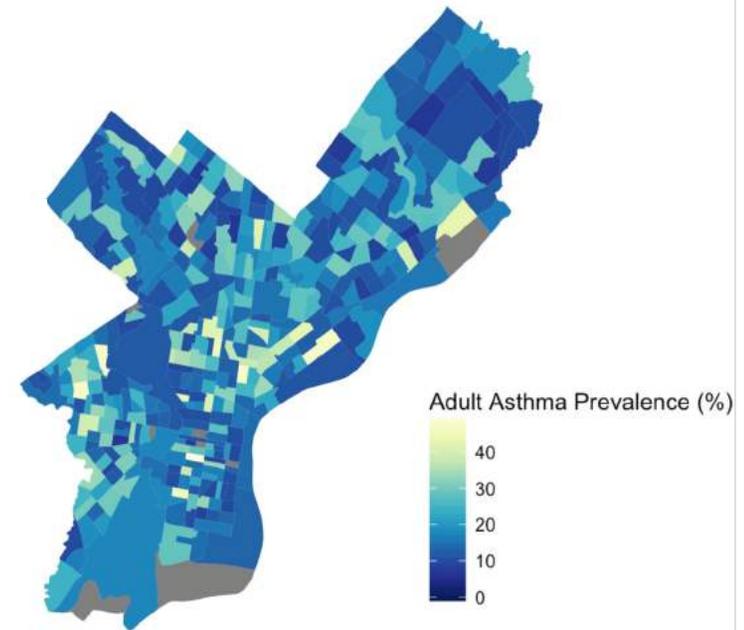


Data Sources: National Health and Nutrition Examination Survey (NHANES) 2003-2016, Southeastern Pennsylvania Household Health Survey 2004-2015

# Demographic Risk Factors in Philadelphia Mirror National Ones



- All
- Non-Hispanic black
- Non-Hispanic white
- Hispanic



**2015**

# EHRs are Valuable for Research

Provide convenient and low-cost access to longitudinal information of many patients that represent *real-life* populations

- Facilitate contact of people for research studies
- Enable improvement of clinical workflows at the point-of-care
- Derived data can be used for primary research
- Essential for creation of large biobanks for omics studies

The screenshot shows the OpenMRS interface for a patient named Mr. Horatio L. Hornblower Esq., 70 years old (DOB: 01-May-1941). The patient's BMI is 7. The regimens listed are Digoxin, carvedilol, and Crestor. The last encounter is noted as 'No Previous Encounters'. The interface includes navigation tabs for Overview, Regimens, Visits, Encounters, Demographics, Graphs, Form Entry, and SMART App. A sidebar on the left contains icons for Problems, Med List, Got Statins?, Med Calendar, Allergy Check, and Cardiac Risk. The main content area displays a table of SNOMED problems:

SNOMED	Problem	Onset	Resolution	Notes
2152201	ABDOMINAL PAIN	2011-06-26 00:00		
81308009	Brain Disorder	2011-06-26 00:00		
29857009	CHEST PAIN	2011-06-25 00:00	2011-06-26 00:00	
10258006	Chest Wall Pain	2011-06-26 00:00		
10614007	Nutrition Deficiency due to a Particular Kind of Food	2011-06-26 00:00		

The screenshot displays the 'Patient Entered Flowsheet' interface. At the top, it shows 'Pt Entered Flowsheet 0 unread, 2 total'. Below this is a table with columns for Status, Msg Date, Msg Time, Patient, and Subject. The table contains two rows of data:

Status	Msg Date	Msg Time	Patient	Subject
Read	09/24/2015	10:40 PM	[3122990]	Abnormal results from patient entered flowsheet
Read	08/17/2015	6:40 PM	[3122990]	Abnormal results from patient entered flowsheet

Below the table, the 'Patient Entered Flowsheet' section indicates that the patient has submitted abnormal Peak Flow Tracking data. It shows a table with columns for Time Taken, Inhaler Usage, and Best Peak Flow:

Time Taken	Inhaler Usage	Best Peak Flow
9/24/2015 10:39 PM	2	300 (A)

To the right of the table is a line graph showing Peak Flow over time. The y-axis is labeled 'Peak Flow' and ranges from 0 to 600. The x-axis represents time. The graph shows a red line that starts at approximately 500, drops to around 400, then rises to a peak of about 550, before declining to approximately 250.

# EHR-Derived Data for the Study of Asthma in Philadelphia

## University of Pennsylvania Health System (UPHS)

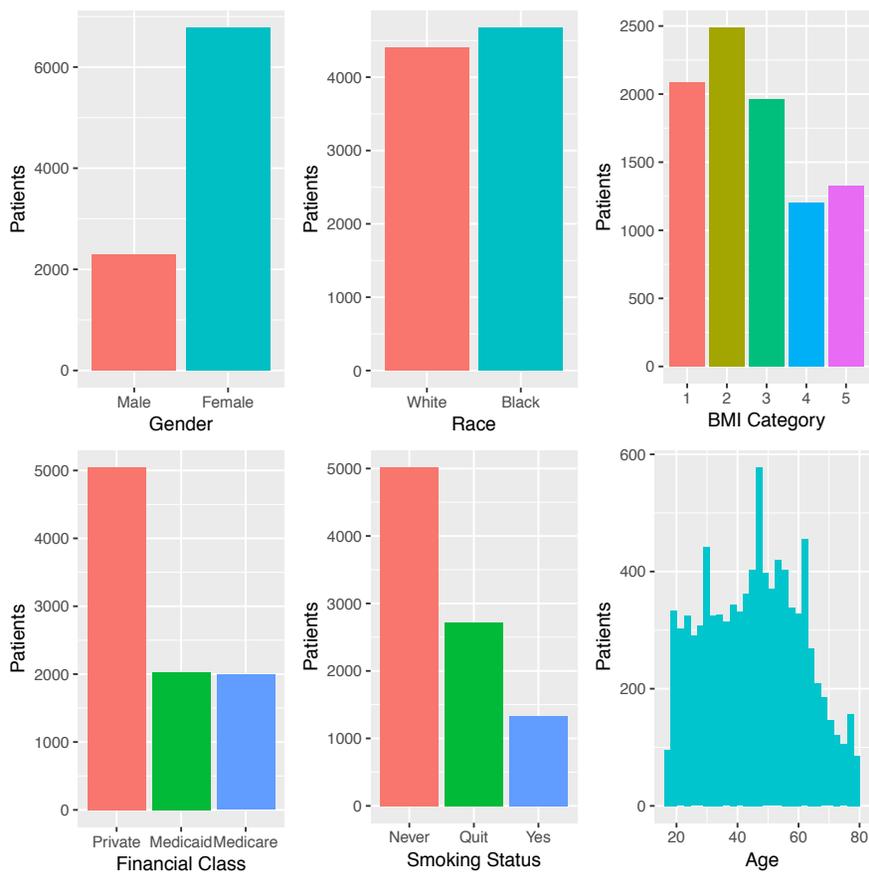
- Serves the greater Philadelphia area
- Maintains a clinical data warehouse with demographic and clinical data



# UPHS Asthma Patient Characteristics

- Obtained 2011-2014 encounter and demographic data for asthma patients (ICD-9 493\*)
  - Inclusion criteria:
    - 18-80 years old
    - Followed for at least 3 years
    - Prescription for albuterol
    - At least 1 primary asthma ICD-9 code
- 9,068 complete cases

# UPHS Asthma Patient Characteristics



	All UPHS patients (N=3,199,282)
<b>Gender</b>	
Male	1,154,154 (39.8%)
Female	1,746,632 (60.2%)
<b>Race/Ethnicity</b>	
White	1,705,967 (58.8%)
Black	842,345 (29.0%)

# Characteristics of Asthma Patients with Exacerbations

- Defined as prescription for oral steroid & primary asthma ICD-9 code (493\*)

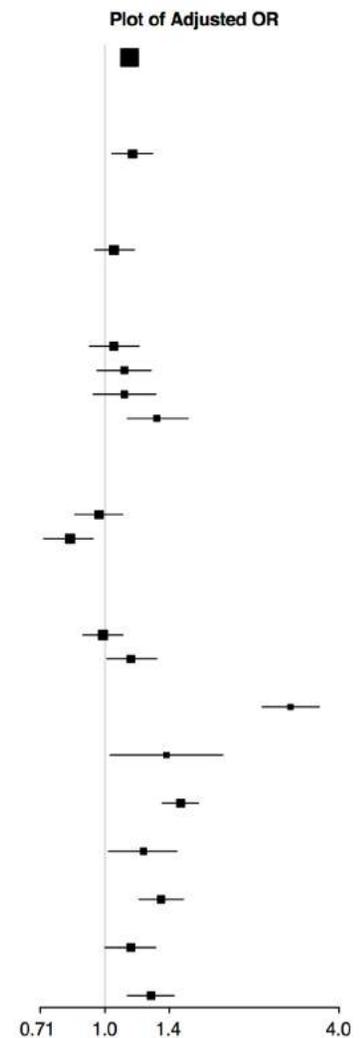
Number of Exacerbations	0	1-2	3-4	5+
Number of Subjects (%)	6,042 (66.63)	2,639 (29.10)	273 (3.01)	114 (1.26)

- Measure associations with other variables

Race				
White	3,034 (50.22)	1,252 (47.44)	99 (36.26)	12 (10.53)
Black	3,008 (49.78)	1,387 (52.56)	174 (63.74)	102 (89.47)
Sex				
Male	1,576 (26.08)	623 (23.61)	68 (24.91)	28 (24.56)
Female	4,466 (73.92)	2,016 (76.39)	205 (75.09)	86 (75.44)

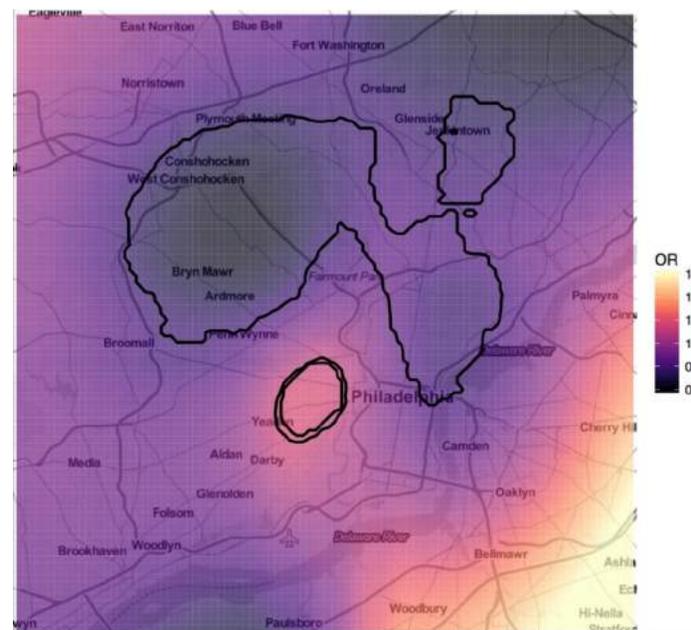
# Factors Associated with Exacerbations among Adults with Asthma According to EHR Data

	Crude OR	Adjusted OR	P-Value
Age (10 years)	1.21 (1.18, 1.25)	1.14 (1.10, 1.18)	2.6e-13
Race			
White	Reference	Reference	
Black or African American	1.28 (1.17, 1.4)	1.16 (1.04, 1.29)	6.9e-03
Sex			
Male	Reference	Reference	
Female	1.13 (1.02, 1.25)	1.05 (0.95, 1.17)	3.6e-01
BMI (kg/m <sup>2</sup> )			
Not Overweight Or Obese	Reference	Reference	
Overweight (25 to < 30)	1.09 (0.96, 1.24)	1.05 (0.92, 1.20)	4.7e-01
Class 1 Obese (30 to < 35)	1.28 (1.13, 1.46)	1.11 (0.96, 1.28)	1.6e-01
Class 2 Obese (35 to < 40)	1.35 (1.16, 1.56)	1.11 (0.94, 1.31)	2.2e-01
Class 3 Obese (= or > 40)	1.67 (1.45, 1.93)	1.32 (1.13, 1.56)	7.4e-04
Health Insurance Type			
Private Insurance	Reference	Reference	
Medicaid	1.21 (1.09, 1.35)	0.97 (0.85, 1.10)	6.4e-01
Medicare	1.53 (1.37, 1.7)	0.83 (0.72, 0.94)	6.2e-03
Smoking Status			
Never	Reference	Reference	
Quit Smoking	1.36 (1.24, 1.5)	0.99 (0.89, 1.10)	8.5e-01
Current Smoker	1.42 (1.26, 1.61)	1.15 (1.01, 1.32)	4.1e-02
Chronic Bronchitis	4.04 (3.52, 4.64)	2.70 (2.32, 3.15)	4.0e-37
Emphysema	3.21 (2.43, 4.25)	1.39 (1.03, 1.88)	3.2e-02
Sinusitis	1.47 (1.34, 1.6)	1.50 (1.36, 1.65)	2.0e-16
Pulmonary Circulation Disorder	2.21 (1.88, 2.61)	1.23 (1.02, 1.47)	2.6e-02
Fluid & Electrolyte Disorder	1.97 (1.78, 2.19)	1.35 (1.20, 1.52)	6.5e-07
Obstructive Sleep Apnea	1.81 (1.62, 2.03)	1.15 (1.00, 1.31)	4.2e-02
Diabetes	2.05 (1.84, 2.29)	1.28 (1.13, 1.45)	1.0e-04



# Spatial Distribution of Asthma Exacerbations

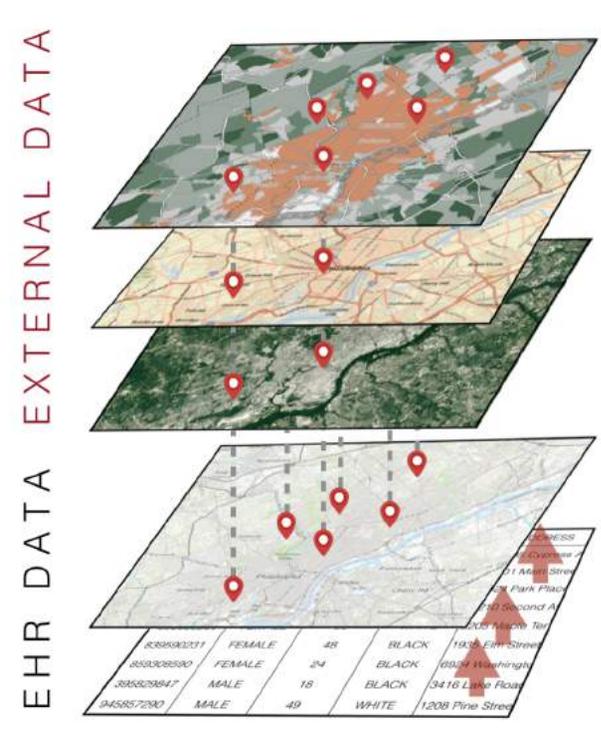
- Used residential address to map asthma exacerbation rates
- Generalized additive models measured differences while adjusting for race, age, BMI, smoking status, insurance class
- Global spatial heterogeneity present
- Significant hot spots and cold spots indicated by contour lines ( $p < 0.01$ )



# Important Health-Related Information is Missing from EHRs

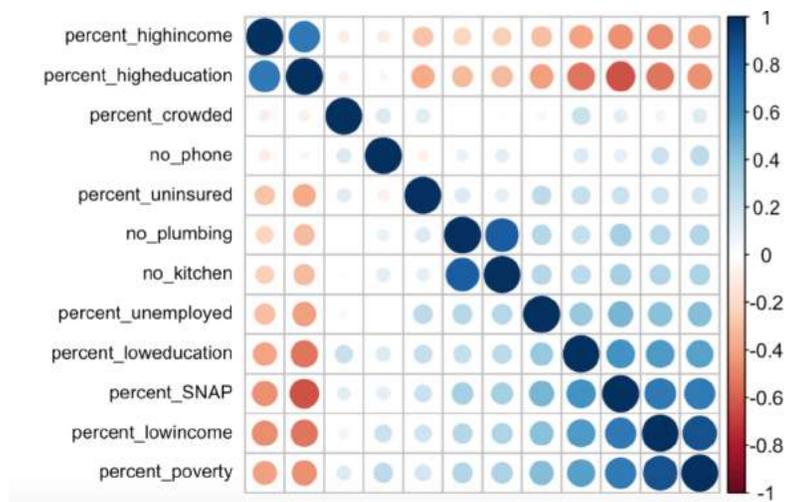
- Socioeconomic status
- Education and health literacy
- Environmental exposures

This information can be captured by external sources and linked to EHR data via patient-specific geocodes

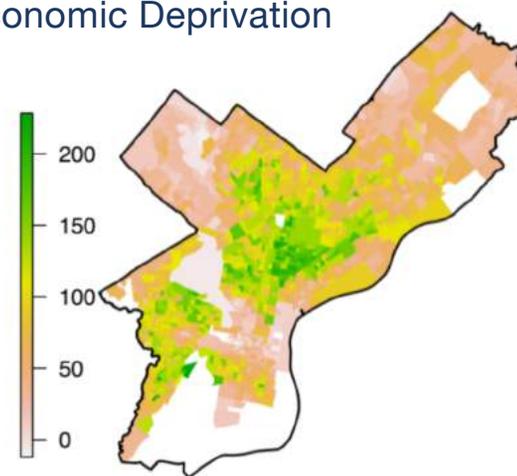


# Geospatially Varying Socioeconomic Conditions

- Data source: American Community Survey
- Twelve SES variables for all U.S. census block groups in Philadelphia from 2010-2014
- Composite score of “economic deprivation” obtained via factor analysis

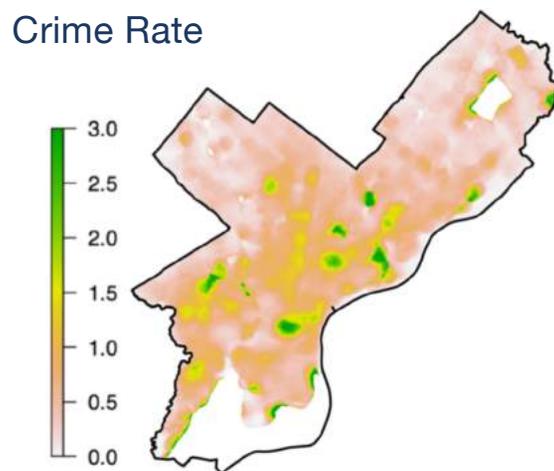


Economic Deprivation



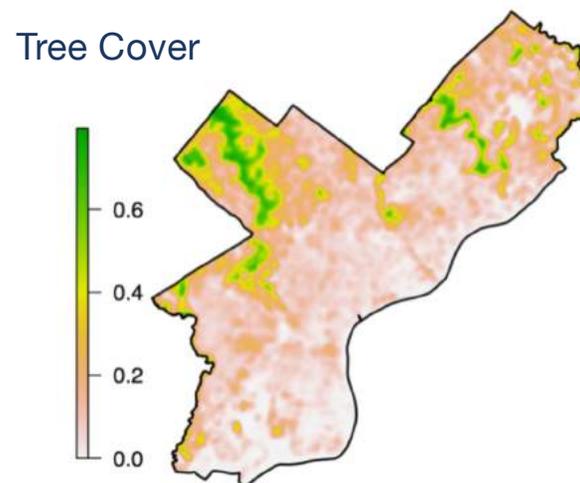
# Geospatially Varying Exposure to Crime

- Data source: publicly available database from Philadelphia Police Department of all crime incidents in Philadelphia
- Crime rate calculated as crime density divided by population density



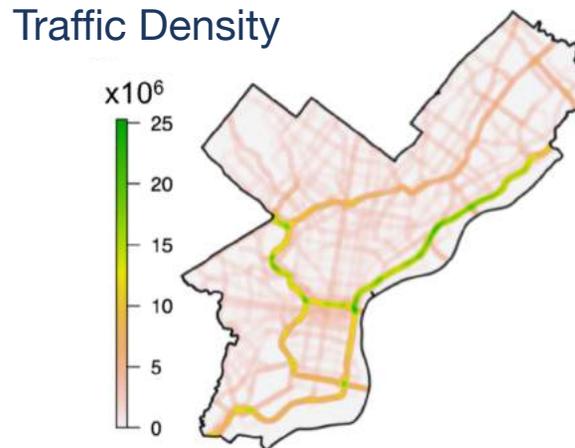
# Geospatially Varying Exposure to Tree Cover

- Data source: assessment of tree canopy by University of Vermont Spatial Analysis Laboratory using automated object-based analysis approach that combined high resolution light detection and ranging (LiDAR) data and ancillary GIS data (building footprints, road polygons)
- Tree cover estimated as percent of land area composed of tree canopy in a circular 250-m moving window



# Geospatially Varying Exposure to Vehicular Traffic

- Data source: annual average daily traffic (AADT) measurements for all major road segments in Philadelphia from the Pennsylvania Department of Transportation
- Traffic density calculated based on daily vehicle miles traveled (DVMT) using a 250-m circular moving window

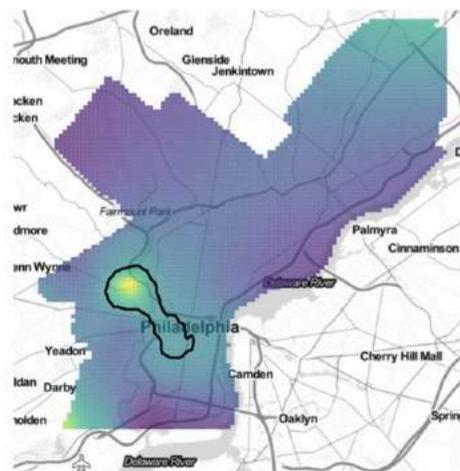


# Spatial Heterogeneity of Asthma Exacerbations Decreased with Adjustment of Externally Sourced Variables

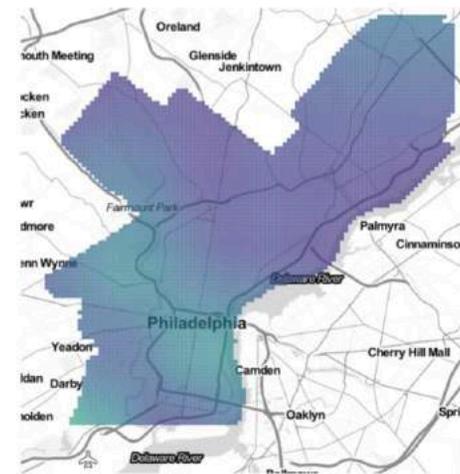
- EHR-derived data (2014-2016) restricted to 1,568 patients who had  $\geq 1$  outpatient encounter with a primary ICD-9/10 code for asthma and a prescription for albuterol.
- Generalized additive models of asthma exacerbations (570 cases and 998 controls) performed using:

EHR-derived variables (age, sex, race, BMI, smoking status, insurance class)

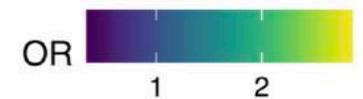
EHR-extracted variables + neighborhood deprivation, crime, and vehicular traffic



$p=0.005$



$p=0.064$

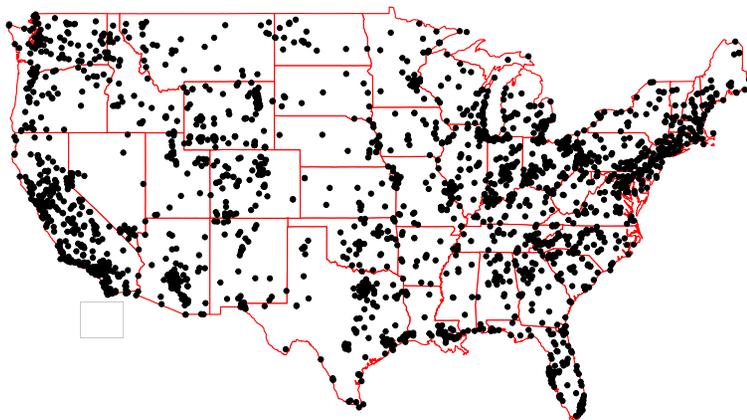


Global test for spatial heterogeneity

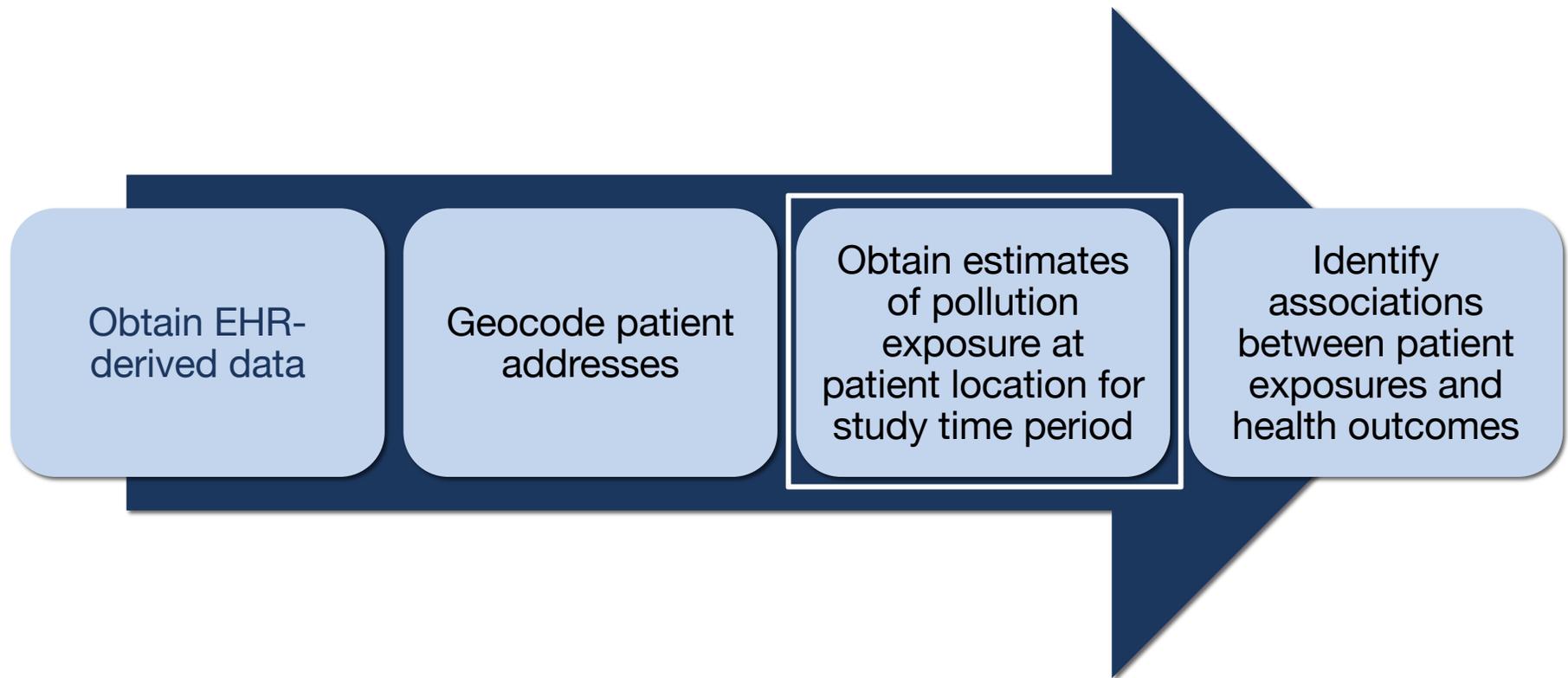
# Air Pollution

- Associated with many adverse health outcomes, including asthma
- U.S. Environmental Protection Agency Data monitors air pollution using > 2,000 regulated monitors across the U.S. and provides data to the public

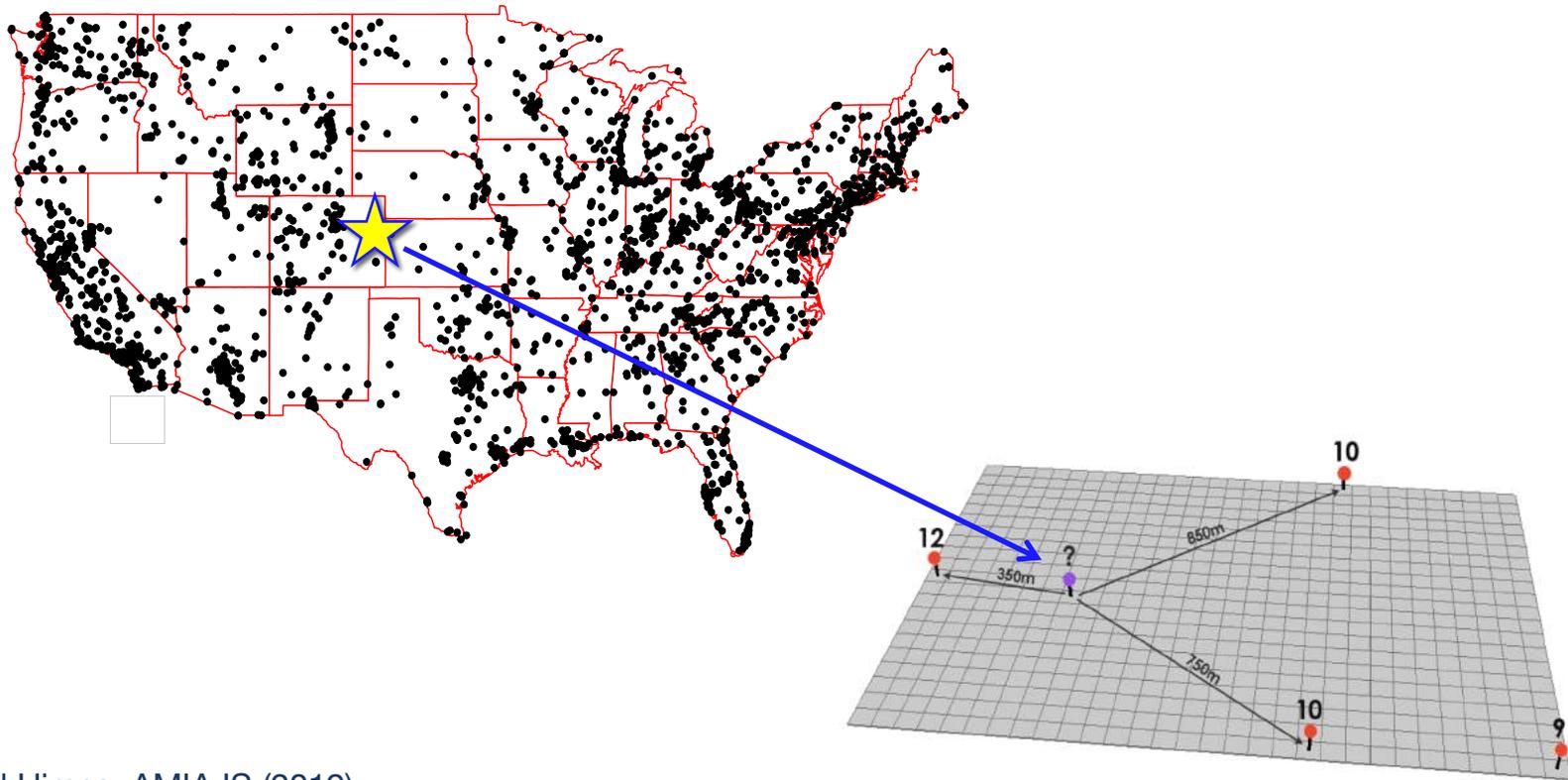
PM<sub>2.5</sub>, CO, Ozone, NO<sub>2</sub>, SO<sub>2</sub>



# Workflow of Linked EHR and Pollution Studies

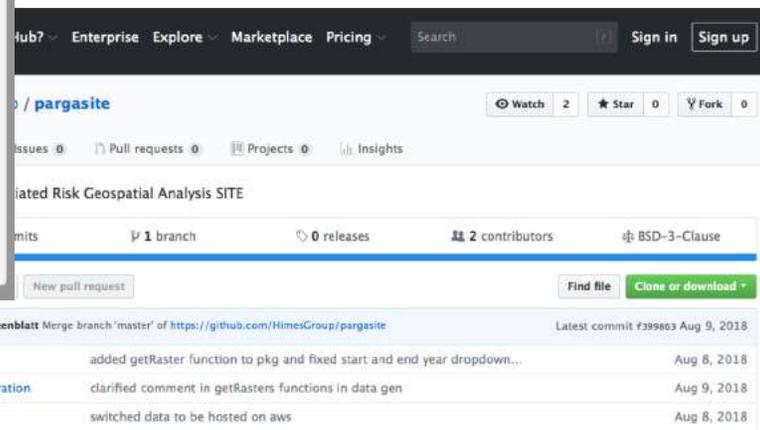
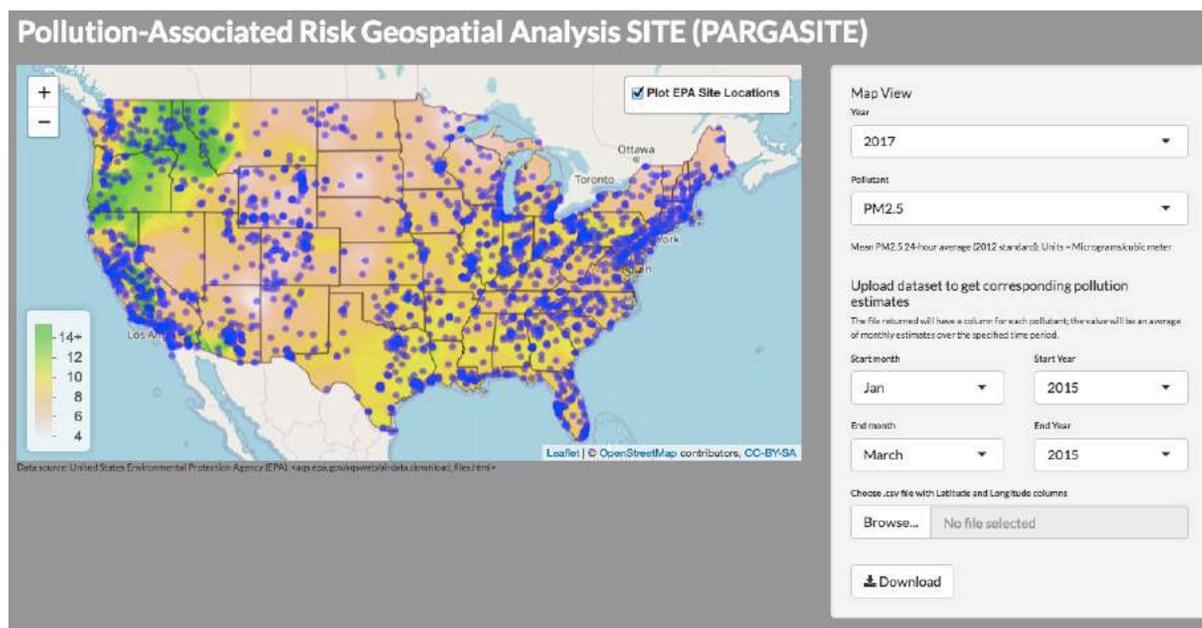


# Exposure at a Given Geocoordinate is Interpolated from Sites with Measures



Greenblatt and Himes, AMIA IS (2019)

# Pollution-Associated Risk Geospatial Analysis SITE (PARGASITE)

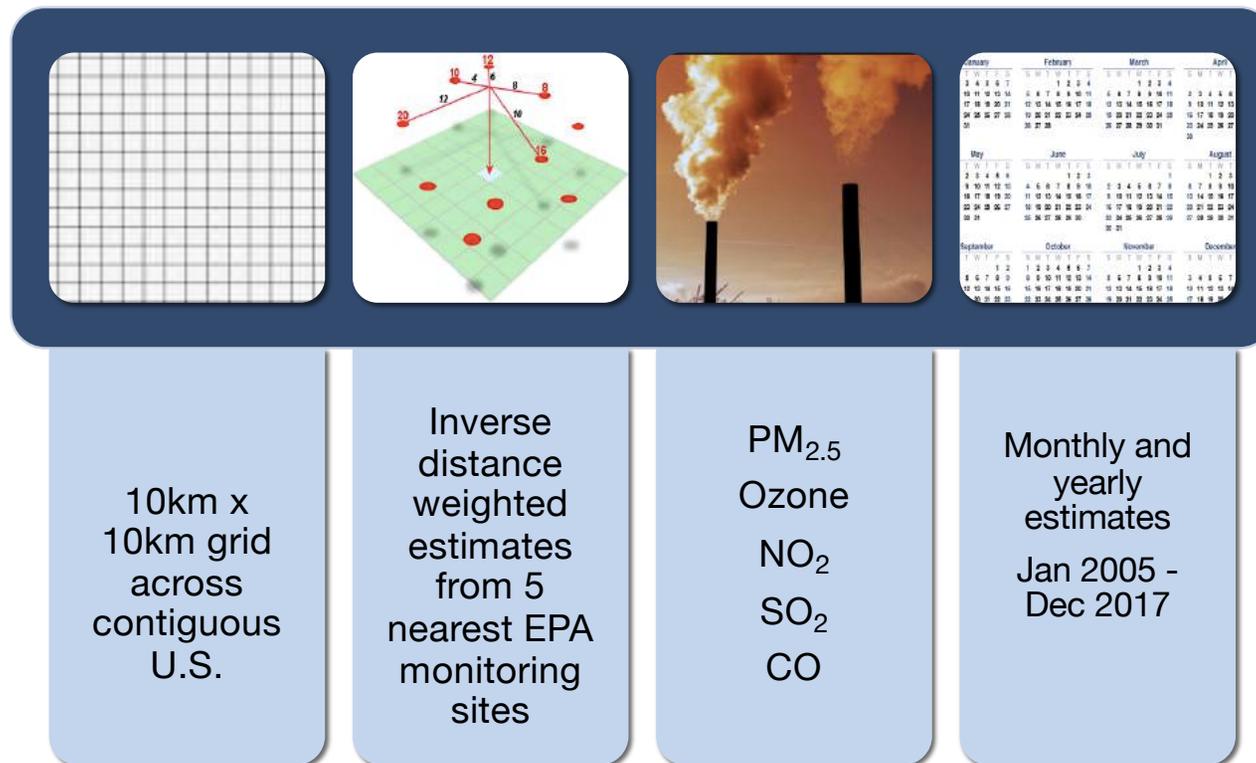


<http://pargasite.org>

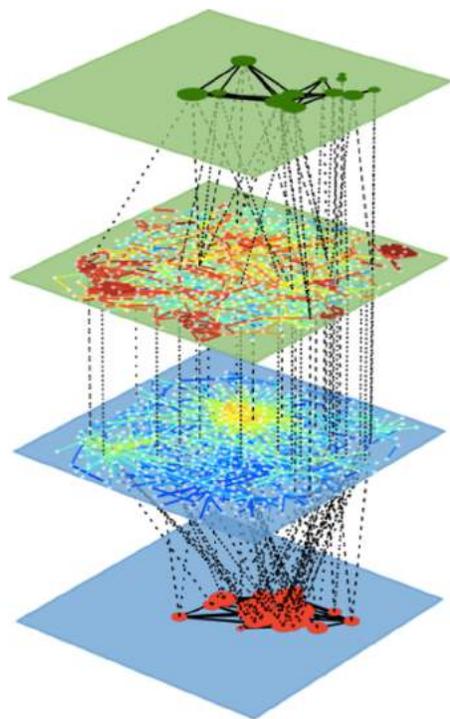
<http://GitHub.com/HimesGroup/Pargasite>

Greenblatt and Himes, AMIA IS (2019)

# PARGASITE has Pre-Computed Estimates of Air Pollution Measures Across the U.S.



## Plans to Expand PARGASITE



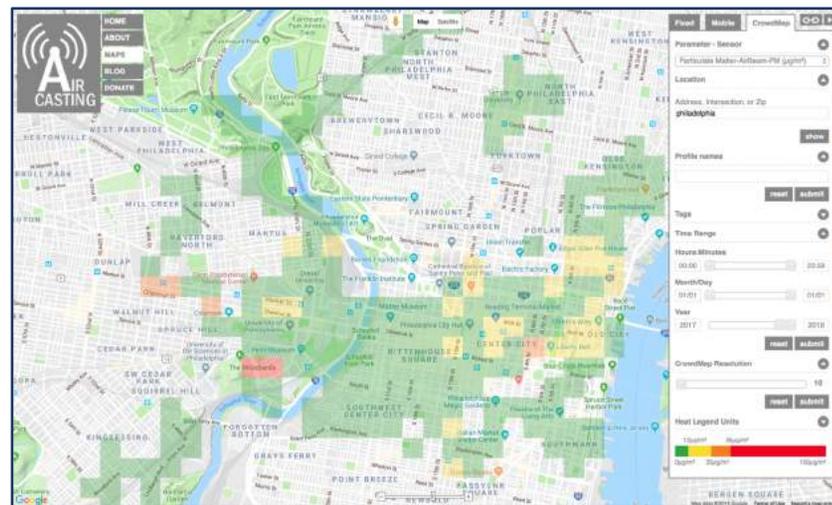
- Environmental data: vegetation, elevation, wind patterns, temperature
- Comparative studies of pollution measures: satellite data sources, portable pollution sensors
- Alternative geospatial interpolation methods: kriging, spline interpolation

# Personal Monitoring of Fine Particulate Matter

AirBeam PM2.5 Sensors



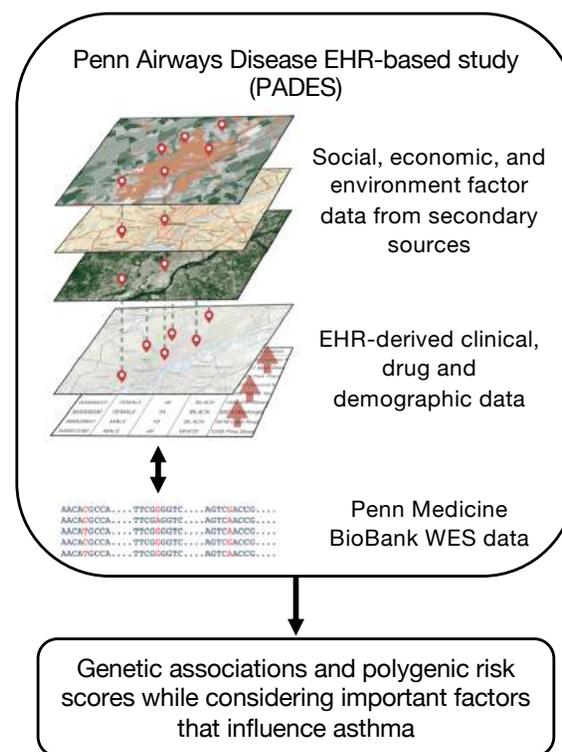
Greater Spatial Resolution than EPA Monitors



<http://aircasting.org>

## Future Directions

- Integrate Penn Medicine Biobank whole exome sequencing data into EHR-based studies
- Determine contribution of genetics vs. social, economic and environmental factors to asthma



# Acknowledgements

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### Harvard School of Public Health

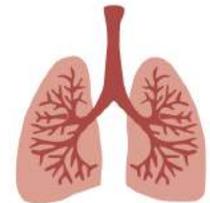
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### University of California, San Francisco

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<http://himeslab.org>